

TO/T0/90



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Attorney Docket No.	04645.0875
First Inventor	Shah et al.
Title	Ruthenium-Containing Ultrasonically ...
Express Mail Label No.	EF380361905US

Assistant Commissioner for Patents

ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

1033 U.S. PTO

06/01/01

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See CFR 1.27.
3.  Specification [Total Pages / 33 /]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets / 13 /]
5.  Oath or Declaration [Total Pages / 3 /]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: check for \$1114.00 and \$40.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of the prior application No: 09/280,445

Prior application information: Examiner: M. Cleveland    Group/Art Unit: 1762

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

NAME	Michael F. Scalise			
	Hodgson Russ LLP			
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				(716) 849-0349

"Express Mail" Mailing Label Number EF380361905US

Date of Deposit June 1, 2001

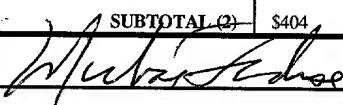
I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty  
Name

547038

# FEE TRANSMITTAL for FY 2001

*Patent Fees are subject to annual revision.*

<b>FEE TRANSMITTAL for FY 2001</b>  <i>Patent Fees are subject to annual revision.</i>					Application Number			
					Filing Date		June 1, 2001	
					First Named Inventor		Shah et al.	
					Examiner Name			
					Group/Art Unit			
TOTAL AMOUNT OF PAYMENT		(\$1154.00)		Attorney Docket Number		04645.0875		
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES						
Deposit Account Number: 08-2442 Deposit Account Name: Hodgson Russ LLP		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description		Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		105	130	205	65	Surcharge - late filing fee or oath		\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		\$
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139	130	139	130	Non-English specification		\$
FEE CALCULATION		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		\$
1. BASIC FILING FEE		112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action		\$
Large Entity Small Entity		113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action		\$
Fee Code (\$)	Fee Code (\$)	Fee Paid						
101 710 201 355	Utility filing fee	\$710	115	110	215	55	Extension for reply within first month	\$
106 320 206 160	Design filing fee	\$	116	390	216	195	Extension for reply within second month	\$
107 490 207 245	Plant filing fee	\$	117	890	217	445	Extension for reply within third month	\$
108 710 208 355	Reissue filing fee	\$	118	1,390	218	695	Extension for reply within fourth month	\$
114 150 214 75	Provisional filing fee	\$	128	1,890	228	945	Extension for reply within fifth month	\$
SUBTOTAL (1)		\$710	119	310	219	155	Notice of Appeal	\$
2. EXTRA CLAIM FEES		Extra Fee from Claims below	Fee Paid					
Total Claims /38 / -20** = /18/ x /18/		\$324	120	310	220	155	Filing a brief in support of an appeal	\$
Independent Claims /4 / - 3** = /1 / x /80 / =		\$80	121	270	221	135	Request for oral hearing	\$
Multiple dependent / / x / / =		\$	122	110	240	55	Petition to institute a public use proceeding	\$
Large Entity Small Entity			141	1,240	241	620	Petition to revive - unavoidable	\$
Fee Code (\$)	Fee Code (\$)	Fee Description	142	1,240	242	620	10 advance copies Utility issue fee (or reissue)	\$
103 18 203 9	Claims in excess of 20		143	440	243	220	Design issue fee	\$
102 80 202 40	Independent claims in excess of 3		144	600	244	300	Plant issue fee	\$
104 270 204 135	Multiple dependent claim if not paid		122	130	122	130	Petitions to the Commissioner	\$
109 80 209 40	**Reissue independent claims over original patent		123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$
110 18 210 9	**Reissue claims in excess of 20 and over original patent		126	180	126	180	Submission of Information Disclosure Statement	\$
SUBTOTAL (2)		\$404	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
SIGNATURE: 		146	710	246	355	Filing a submission after final rejection(37 CFR 1.129(a))		\$
Michael F. Scalise Reg. No. 34,920		149	710	249	355	For each add'l invention to be examined(37 CFR 1.129(b))		\$
DATE: June 1, 2001 Telephone: (716) 848-1258		179	710	279	355	Request For Continued Examination (RCE)		\$
Express Mail" Mailing Label Number: EF380361905US		169	900	169	900	Request for Expedited Examination of a design appln.		\$
Date of Deposit June 1, 2001		*Reduced by basic filing fee paid						SUBTOTAL (3) \$40

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty



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